

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MLI - 06
First Inventor T. Wade Fallin et al
Title Method And Apparatus For Bone Plating
Express Mail Label No. EK855196927US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 36]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 19]
5. ☒ Oath or Declaration [Total Pages 2]
- a. ☐ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- b. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name Daniel F. Justin
Address 180 South 600 West
City Logan State Utah Zip Code 84321
Country US Telephone 435-753-7675 Fax 435-753-7698

Name (Print/Type) Daniel F. Justin Registration No. (Attorney/Agent) 50144

Signature [Signature] Date 6/20/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

16235 U.S. PTO
10/601177
06/20/03

17668 U.S. PTO
06/20/03

FEE TRANSMITTAL For FY 2003

Complete if Known

<input checked="" type="checkbox"/> Applicant Claims small entity status.		Application Number	
TOTAL AMOUNT OF PAYMENT (\$)		Filing Date	06/20/2003
(\$)		First named Inventor	T. Wade Fallin et al
		Examiner Name	
		Art Unit	
		Attorney Docket No.	MLI-06

Method of Payment		Fee Calculation (Continued)																																																																																																																																																																																															
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: _____ The Commissioner is authorized to: (Check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Code</th> <th>Fee (\$)</th> <th>Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath.</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet.</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification.</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for <i>ex parte</i> reexamination.</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action.</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840</td> <td>1805</td> <td>1,840</td> <td>Requesting publication of SIR after Examiner action.</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month.</td> <td></td> </tr> <tr> <td>1252</td> <td>400</td> <td>2252</td> <td>200</td> <td>Extension for reply within second month.</td> <td></td> </tr> <tr> <td>1253</td> <td>920</td> <td>2253</td> <td>460</td> <td>Extension for reply within third month.</td> <td></td> </tr> <tr> <td>1254</td> <td>1,440</td> <td>2254</td> <td>720</td> <td>Extension for reply within forth month.</td> <td></td> </tr> <tr> <td>1255</td> <td>1,960</td> <td>2255</td> <td>980</td> <td>Extension for reply within fifth month.</td> <td></td> </tr> <tr> <td>1401</td> <td>320</td> <td>2401</td> <td>160</td> <td>Notice of Appeal.</td> <td></td> </tr> <tr> <td>1402</td> <td>320</td> <td>2402</td> <td>160</td> <td>Filing a brief in support of an appeal.</td> <td></td> </tr> <tr> <td>1403</td> <td>280</td> <td>2403</td> <td>140</td> <td>Request for oral hearing.</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding.</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - unavoidable.</td> <td></td> </tr> <tr> <td>1453</td> <td>1,280</td> <td>2453</td> <td>640</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1,280</td> <td>2501</td> <td>640</td> <td>Utility Issue fee (or reissue)</td> <td></td> </tr> <tr> <td>1502</td> <td>460</td> <td>2502</td> <td>230</td> <td>Design Issue Fee</td> <td></td> </tr> <tr> <td>1503</td> <td>620</td> <td>2503</td> <td>310</td> <td>Plant Issue Fee</td> <td></td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50</td> <td>Processing fee under 37 CFR 1.17(q).</td> <td></td> </tr> <tr> <td>1806</td> <td>180</td> <td>1806</td> <td>180</td> <td>Submission of information disclosure stmt.</td> <td></td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>1809</td> <td>740</td> <td>2809</td> <td>370</td> <td>Filing a submission after final rejection (37 CFR 1.129 (a))</td> <td></td> </tr> <tr> <td>1810</td> <td>740</td> <td>2810</td> <td>370</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td>1801</td> <td>740</td> <td>2801</td> <td>370</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>1802</td> <td>900</td> <td>1802</td> <td>900</td> <td>Request for expedited examination of a design application.</td> <td></td> </tr> <tr> <td colspan="4">Other Fee Reduced by Basic Filing paid</td> <td></td> <td></td> </tr> <tr> <td colspan="4">Subtotal (3)</td> <td></td> <td>\$ 454.00</td> </tr> </tbody> </table>		Large Entity		Small Entity		Fee Description	Fee Paid	Code	Fee (\$)	Code	Fee (\$)	1051	130	2051	65	Surcharge - late filing fee or oath.		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		1053	130	1053	130	Non-English specification.		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination.		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action.		1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action.		1251	110	2251	55	Extension for reply within first month.		1252	400	2252	200	Extension for reply within second month.		1253	920	2253	460	Extension for reply within third month.		1254	1,440	2254	720	Extension for reply within forth month.		1255	1,960	2255	980	Extension for reply within fifth month.		1401	320	2401	160	Notice of Appeal.		1402	320	2402	160	Filing a brief in support of an appeal.		1403	280	2403	140	Request for oral hearing.		1451	1,510	1451	1,510	Petition to institute a public use proceeding.		1452	110	2452	55	Petition to revive - unavoidable.		1453	1,280	2453	640	Petition to revive - unintentional		1501	1,280	2501	640	Utility Issue fee (or reissue)		1502	460	2502	230	Design Issue Fee		1503	620	2503	310	Plant Issue Fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q).		1806	180	1806	180	Submission of information disclosure stmt.		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129 (a))		1810	740	2810	370	For each additional invention to be examined (37 CFR 1.129(b))		1801	740	2801	370	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application.		Other Fee Reduced by Basic Filing paid						Subtotal (3)					\$ 454.00
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																												
Code	Fee (\$)	Code	Fee (\$)																																																																																																																																																																																														
1051	130	2051	65	Surcharge - late filing fee or oath.																																																																																																																																																																																													
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																													
1053	130	1053	130	Non-English specification.																																																																																																																																																																																													
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination.																																																																																																																																																																																													
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action.																																																																																																																																																																																													
1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action.																																																																																																																																																																																													
1251	110	2251	55	Extension for reply within first month.																																																																																																																																																																																													
1252	400	2252	200	Extension for reply within second month.																																																																																																																																																																																													
1253	920	2253	460	Extension for reply within third month.																																																																																																																																																																																													
1254	1,440	2254	720	Extension for reply within forth month.																																																																																																																																																																																													
1255	1,960	2255	980	Extension for reply within fifth month.																																																																																																																																																																																													
1401	320	2401	160	Notice of Appeal.																																																																																																																																																																																													
1402	320	2402	160	Filing a brief in support of an appeal.																																																																																																																																																																																													
1403	280	2403	140	Request for oral hearing.																																																																																																																																																																																													
1451	1,510	1451	1,510	Petition to institute a public use proceeding.																																																																																																																																																																																													
1452	110	2452	55	Petition to revive - unavoidable.																																																																																																																																																																																													
1453	1,280	2453	640	Petition to revive - unintentional																																																																																																																																																																																													
1501	1,280	2501	640	Utility Issue fee (or reissue)																																																																																																																																																																																													
1502	460	2502	230	Design Issue Fee																																																																																																																																																																																													
1503	620	2503	310	Plant Issue Fee																																																																																																																																																																																													
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																													
1807	50	1807	50	Processing fee under 37 CFR 1.17(q).																																																																																																																																																																																													
1806	180	1806	180	Submission of information disclosure stmt.																																																																																																																																																																																													
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																													
1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129 (a))																																																																																																																																																																																													
1810	740	2810	370	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																													
1801	740	2801	370	Request for Continued Examination (RCE)																																																																																																																																																																																													
1802	900	1802	900	Request for expedited examination of a design application.																																																																																																																																																																																													
Other Fee Reduced by Basic Filing paid																																																																																																																																																																																																	
Subtotal (3)					\$ 454.00																																																																																																																																																																																												
FEE CALCULATION 1. BASIC FILING FEE <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Code</th> <th>Fee(\$)</th> <th>Code</th> <th>Fee(\$)</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>740</td> <td>2001</td> <td>370</td> <td>Utility filing Fee</td> <td>\$ 370.00</td> </tr> <tr> <td>1002</td> <td>330</td> <td>2002</td> <td>165</td> <td>Design filing Fee</td> <td></td> </tr> <tr> <td>1003</td> <td>510</td> <td>2003</td> <td>255</td> <td>Plant filing Fee</td> <td></td> </tr> <tr> <td>1004</td> <td>740</td> <td>2004</td> <td>370</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>1005</td> <td>160</td> <td>2005</td> <td>80</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5">Subtotal(1) (\$)</td> <td></td> </tr> </tbody> </table> 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE <table border="1"> <thead> <tr> <th colspan="2"></th> <th colspan="2">Fee from</th> <th colspan="2">Fee Paid</th> </tr> <tr> <th colspan="2"></th> <th>Extra Claims</th> <th>below</th> <th colspan="2"></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>13</td> <td>-20**=</td> <td>2</td> <td>x</td> <td>42 = 84</td> </tr> <tr> <td>Independent Claims</td> <td>5</td> <td>-3**=</td> <td>2</td> <td>x</td> <td>42 = 84</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td>0</td> <td>=</td> <td></td> </tr> </tbody> </table> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee paid</th> </tr> <tr> <th>Code</th> <th>Fee(\$)</th> <th>Code</th> <th>Fee(\$)</th> </tr> </thead> <tbody> <tr> <td>1202</td> <td>18</td> <td>2202</td> <td>9</td> <td>Claims in excess of 20.</td> <td></td> </tr> <tr> <td>1201</td> <td>84</td> <td>2201</td> <td>42</td> <td>Independent claims in excess of 3.</td> <td></td> </tr> <tr> <td>1203</td> <td>280</td> <td>2203</td> <td>140</td> <td>Multiple dependent claim, if not paid.</td> <td></td> </tr> <tr> <td>1204</td> <td>84</td> <td>2204</td> <td>42</td> <td>**Reissue independent claims over original patent.</td> <td></td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205</td> <td>9</td> <td>**Reissue claims in excess of 20 and over original patent.</td> <td></td> </tr> <tr> <td colspan="5">Subtotal(2) (\$)</td> <td>84.00</td> </tr> </tbody> </table>		Large Entity		Small Entity		Fee Description	Fee Paid	Code	Fee(\$)	Code	Fee(\$)	1001	740	2001	370	Utility filing Fee	\$ 370.00	1002	330	2002	165	Design filing Fee		1003	510	2003	255	Plant filing Fee		1004	740	2004	370	Reissue filing fee		1005	160	2005	80	Provisional filing fee		Subtotal(1) (\$)								Fee from		Fee Paid				Extra Claims	below			Total Claims	13	-20**=	2	x	42 = 84	Independent Claims	5	-3**=	2	x	42 = 84	Multiple Dependent			0	=		Large Entity		Small Entity		Fee Description	Fee paid	Code	Fee(\$)	Code	Fee(\$)	1202	18	2202	9	Claims in excess of 20.		1201	84	2201	42	Independent claims in excess of 3.		1203	280	2203	140	Multiple dependent claim, if not paid.		1204	84	2204	42	**Reissue independent claims over original patent.		1205	18	2205	9	**Reissue claims in excess of 20 and over original patent.		Subtotal(2) (\$)					84.00																																																																						
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																												
Code	Fee(\$)	Code	Fee(\$)																																																																																																																																																																																														
1001	740	2001	370	Utility filing Fee	\$ 370.00																																																																																																																																																																																												
1002	330	2002	165	Design filing Fee																																																																																																																																																																																													
1003	510	2003	255	Plant filing Fee																																																																																																																																																																																													
1004	740	2004	370	Reissue filing fee																																																																																																																																																																																													
1005	160	2005	80	Provisional filing fee																																																																																																																																																																																													
Subtotal(1) (\$)																																																																																																																																																																																																	
		Fee from		Fee Paid																																																																																																																																																																																													
		Extra Claims	below																																																																																																																																																																																														
Total Claims	13	-20**=	2	x	42 = 84																																																																																																																																																																																												
Independent Claims	5	-3**=	2	x	42 = 84																																																																																																																																																																																												
Multiple Dependent			0	=																																																																																																																																																																																													
Large Entity		Small Entity		Fee Description	Fee paid																																																																																																																																																																																												
Code	Fee(\$)	Code	Fee(\$)																																																																																																																																																																																														
1202	18	2202	9	Claims in excess of 20.																																																																																																																																																																																													
1201	84	2201	42	Independent claims in excess of 3.																																																																																																																																																																																													
1203	280	2203	140	Multiple dependent claim, if not paid.																																																																																																																																																																																													
1204	84	2204	42	**Reissue independent claims over original patent.																																																																																																																																																																																													
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent.																																																																																																																																																																																													
Subtotal(2) (\$)					84.00																																																																																																																																																																																												

SUBMITTED BY:			
Name	Daniel F. Justin	Registration No.	50144
Signature	<i>Daniel F. Justin</i>	Date	06/20/03
		Telephone	435-753-7675

PATENT APPLICATION
Docket No.: MIL-06

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

"Express Mail" Mailing Label No.: EK855196927US
Date of Deposit: 06/20/2003

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231:

- Transmittal Letter (1 page)
- Fee Transmittal Letter (1 page)
- Check No. 3338 for \$ 454.00
- Specification (36 pages)
- Drawings (11 Figures – 19 sheets)
- Information Disclosure Citations (5 pages)
- Information Disclosure Statement (2 Pages)
- Certificate of Express Mailing (EK855196927US)
- Postcard

Dated this 20th day of June 2003.

Respectfully submitted,



Daniel F. Justin
Attorney for Applicant
Registration No. 50144

DANIEL F. JUSTIN
180 South 600 West
Logan, Utah 84321
Phone: (435) 753-7675